

(6.3) in (7.2):

(AS11)

$$\begin{pmatrix} -i\hbar v_F \partial_x & \Delta(x) e^{i\varphi} \\ \Delta(x) e^{-i\varphi} & i\hbar v_F \partial_x \end{pmatrix} \begin{pmatrix} \tilde{\psi}_e(x) \\ \tilde{\psi}_h(x) \end{pmatrix} = E \begin{pmatrix} \tilde{\psi}_e(x) \\ \tilde{\psi}_h(x) \end{pmatrix} \quad (1)$$

For normal metal
($\kappa < 0$, $\Delta = 0$):

$$\tilde{\psi}(x < 0) = \begin{pmatrix} e^{ixE/v_F\hbar} & \text{incident electron} \\ r_A e^{-ixE/v_F\hbar} & \text{reflected hole} \end{pmatrix} \quad (2)$$

$\downarrow$ Andreev reflection amplitude

For SC ($\kappa > 0$, $\Delta \neq 0$):

$$\tilde{\psi}(x > 0) = c \begin{pmatrix} f_0 \\ f_h \end{pmatrix} e^{-\kappa x} \quad \text{with } \kappa = \frac{\sqrt{\Delta^2 - E^2}}{\hbar v_F} \quad (3)$$

$E < \Delta$ has only
nonzero solution:

$$|f_0|^2 + |f_h|^2 = 1$$

Check: $(+i\hbar v_F \kappa - E)(-i\hbar v_F \kappa - E) - \Delta^2 = 0$.

$$\Rightarrow (\hbar v_F)^2 \kappa^2 + E^2 - \Delta^2 = 0 \Rightarrow \kappa = \pm \sqrt{\Delta^2 - E^2} / \hbar v_F \quad (4)$$

$$\text{Scale of penetration } \xi = \frac{1}{\kappa} = \frac{\hbar v_F}{\sqrt{\Delta^2 - E^2}} \quad (1) \quad \text{(AS12)}$$

in the SC:

$$\begin{cases} \rightarrow \xi_F = \frac{\hbar v_F}{E_F} & \text{for } E = 0 \\ \rightarrow \infty & \text{for } E \rightarrow \Delta \end{cases} \quad (2)$$

Match solutions (1.2) = (1.3) at $x = 0$: $\tilde{\psi}(x \rightarrow 0^-) = \tilde{\psi}(x \rightarrow 0^+)$

($\partial_x \tilde{\psi}$ does not have to be continuous, since (1.1) contains only 1st. derivatives)

$$\text{One finds: } r_A = e^{-i\varphi} \left(\frac{E}{\Delta} - \frac{i\sqrt{\Delta^2 - E^2}}{\Delta} \right) \equiv e^{i\chi} \quad (3)$$

$$\chi = -\arccos(E/\Delta) - \varphi \quad (4)$$

$$\Rightarrow |r_A|^2 = 1 \Rightarrow \text{electron is fully reflected as a hole, with relative phase shift } \chi \quad (5)$$

Similarly: incident hole is reflected as electron,

$$\text{with } |r_A| = 1 \quad \tilde{\chi} = -\arccos(E/\Delta) + \varphi \quad (6)$$

Derivation of (12.3), (12.4):

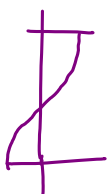
(AS13)

$$\hat{\psi}(x \rightarrow 0^-) = \hat{\psi}(x \rightarrow 0^+) \Rightarrow \quad (1)$$

$$\begin{pmatrix} 1 \\ r_A \end{pmatrix} = C \begin{pmatrix} f_e \\ f_h \end{pmatrix} \Rightarrow C = 1/f_e \Rightarrow r_A = \frac{f_h}{f_e} \quad (2)$$

Find f_h/f_e from eigenvalue eq. for $\hat{\psi}(x \rightarrow 0^+)$: (11.3) into (11.1):

$$\begin{pmatrix} i\hbar v_F k & \Delta e^{i\varphi} \\ \Delta e^{-i\varphi} & -i\hbar v_F k \end{pmatrix} \begin{pmatrix} f_e \\ f_h \end{pmatrix} = E \begin{pmatrix} f_e \\ f_h \end{pmatrix} \quad (3)$$

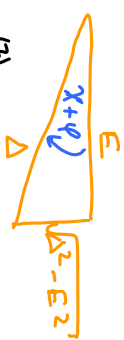


$$\Rightarrow (+i\hbar v_F k - E)f_e = -\Delta e^{i\varphi} f_h \quad \text{with } k = \frac{\sqrt{\Delta^2 - E^2}}{\hbar v_F} \quad (4)$$

$$r_A = \frac{f_h}{f_e} = e^{-i\varphi} \frac{1}{\Delta} [E - i\sqrt{\Delta^2 - E^2}] \equiv e^{i\chi} \quad (5)$$

$$|r_A|^2 = \frac{1}{\Delta^2} [E^2 + \Delta^2 - E^2] = 1 \quad (6)$$

$$\chi + \varphi = -\arcsin(E/\Delta) \quad (E \in [-\pi/2, 0]) \quad (7)$$



For $E > \Delta$:

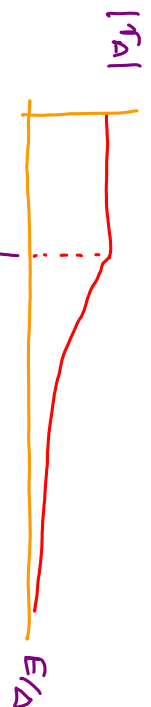
(AS14)

$$\hat{\psi}(x > 0) = C \begin{pmatrix} f_e \\ f_h \end{pmatrix} e^{ikx}, \quad \text{with } k = \sqrt{E^2 + \Delta^2}/v_F \quad (1)$$

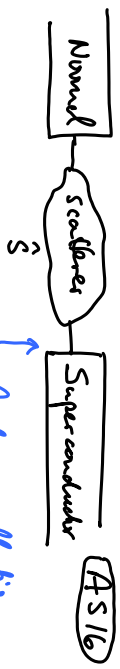
$$\Rightarrow r_A = e^{-i\varphi} \left(\frac{E}{\Delta} - \frac{\sqrt{E^2 + \Delta^2}}{\Delta} \right) \quad (2)$$

$$|r_A|^2 < 1 \Rightarrow \text{single electron can penetrate SC} \quad (3)$$

$$r_A \rightarrow e^{-i\varphi} \frac{1}{2} \frac{\Delta}{E} \quad \text{for } E/\Delta \gg 1 \quad (4)$$



1.8.2 Andreev conductance



Scatterers in normal state described by scattering matrix

Andreev reflection occurs upon hitting this boundary

$$\hat{S}(E) = \begin{pmatrix} r(E) & t(E) \\ t(E) & r(E) \end{pmatrix}$$

Scattering matrix for electrons with $E > 0$: $\hat{S}_e(E) = \hat{S}(E)$ (1)

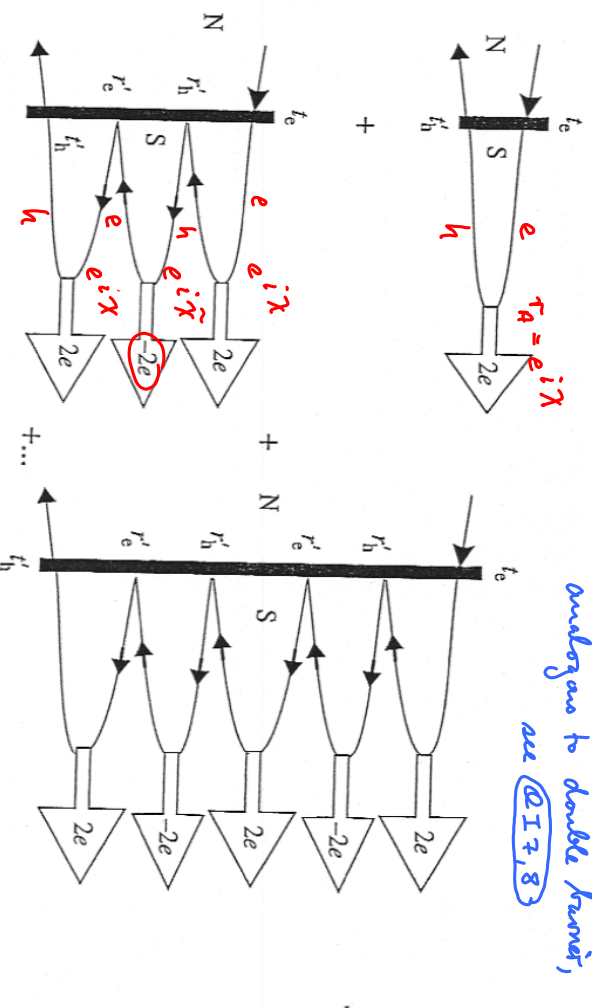
[converts $(\hat{C}_E)_{in}$ to $(\hat{C}_E)_{out}$] $\Rightarrow \bar{r}_e = r(E)$, $t_e = t(E)$ (2)

Scattering matrix for holes with $E > 0$: $\hat{S}_h(E) = \hat{S}^*(-E)$ (3)

[converts $(\hat{C}_{-E})_{in}$ to $(\hat{C}_{-E})_{out}$] $\Rightarrow \bar{r}_h = r^*(-E)$, $t_h = t^*(-E)$ (4)

Multiple Andreev reflections are possible:

(AS 16)



Andreev conductance. The amplitude of the Andreev equation to the normal (N) lead from the nanostructure adjacent to a superconductor (S) is contributed by the processes that differ in the number of electron trips between the nanostructure and superconductor.

Andreev reflection for $E < \Delta$

(A517)

amplitude for 0 $A_0 = t_e e^{i\chi} t_h' = r_{A0}$ phase factor from Andreev reflection of electron or of hole

intermediate Andreev reflection:

$$1 : A_1 = t_e e^{i\chi} \tau_h' e^{i\tilde{\chi}} \tau_e' e^{i\chi} t_h' \quad (2)$$

$$m : A_m = t_e t_h' e^{i\chi} (\tau_h' \tau_e' e^{i(\chi + \tilde{\chi})})^m \quad (3)$$

total amplitude for Andreev reflection: $r_A = \sum_{m=0}^{\infty} A_m = t_e t_h' e^{i\chi} \sum_{m=0}^{\infty} (\tau_h' \tau_e' e^{i(\chi + \tilde{\chi})})^m \quad (4)$

$$= \frac{t_e t_h' e^{i\chi}}{1 - \tau_h' \tau_e' e^{i(\chi + \tilde{\chi})}} \quad \left\{ \begin{array}{l} \text{total phase accumulated} \end{array} \right. \quad (5)$$

Simplifying assumption:

(A518)

• neglect E -dependence: $\hat{S}(E) \equiv \hat{S}$, then $\begin{cases} t_e = t_h^* = t \\ r_e = r_h^* = r \end{cases}$, etc. (1)

• low voltage, $eV \ll \Delta$: then $\chi + \tilde{\chi} = -2 \arcsin \frac{E/\Delta}{\pi/2} \approx 0 \quad (2)$

$$\Rightarrow r_A = \frac{t(t')^* e^{i\chi}}{1 - \underbrace{\tau_h' \tau_e'^*}_{R=1-T} e^{-i\pi}} = \frac{t t'^* e^{i\chi}}{2 - T} \quad (3)$$

Andreev reflection coefficient:
(like scattering coeff)

$$R_A = |r_A|^2 = \frac{T^2}{(2-T)^2} \quad (4)$$

Normal reflection coefficient:
(electron returns back)

$$R_N = 1 - R_A \quad (5)$$

In limit of ideal contact ($T=1$), we recover $R_A = 1$ $R_N = 0$ (cf. 12.5)

Andreev conductance:

(FR19)

Fraction R_N of normally reflected electrons do not contribute to current.

Fraction R_A of Andreev-reflected electrons each contribute charge $2e$ to current

$$\Rightarrow \text{Andreev conductance: } G_A = 2 G_0 R_A \quad (1)$$

Counting statistics for Andreev junction: $e \rightarrow 2e$, $T \rightarrow R_A$ (2)

$$\text{For many channels: } G_A = 2 G_0 \sum_P (R_A)_P = 2 G_0 \sum_P \frac{T_P^2}{(2 - T_P)^2} \quad (3)$$

(18.4)

$$\text{For tunnel junction } (T_P \ll 1): \quad G_A = \frac{1}{2} G_0 \sum_P T_P^2 \quad (4)$$

need transmission of electron and hole

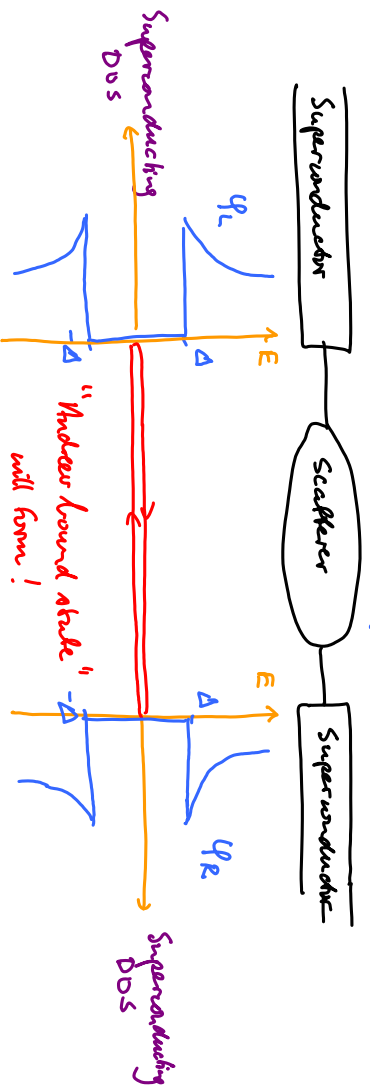
$$\text{For ideal contact } (T_P \approx 1): \quad G_A = 2 G_0 \sum_P \frac{1}{2} = 2 G_N \quad (5)$$

2 electrons transferred at a time

1.8.3 Andreev bound states

(normal or superconductor)

(AR20)



Assume scatterer so short that dwell time T_d satisfies $T_d < \frac{h}{\Delta}$ (1)

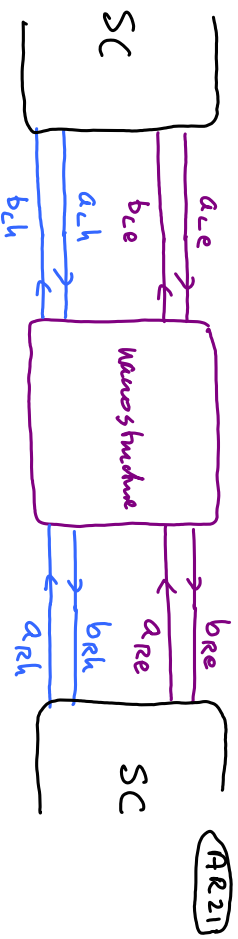
Then electrons spend so little time in scatterer that they do not "notice" whether it is normal or superconducting. $\Rightarrow \hat{S} = \hat{S}_{\text{normal}}$ (2)

At each junction, electrons and holes will be Andreev reflected, i.e., they cannot get out $\Rightarrow$ "Andreev bound states"

with binding energy

$$E = \Delta \left[1 - T \sin^2(\phi_L - \phi_R)/2 \right]^{1/2} \quad (3)$$

Single channel:



$\hat{S}$ matrix relates in and out states:

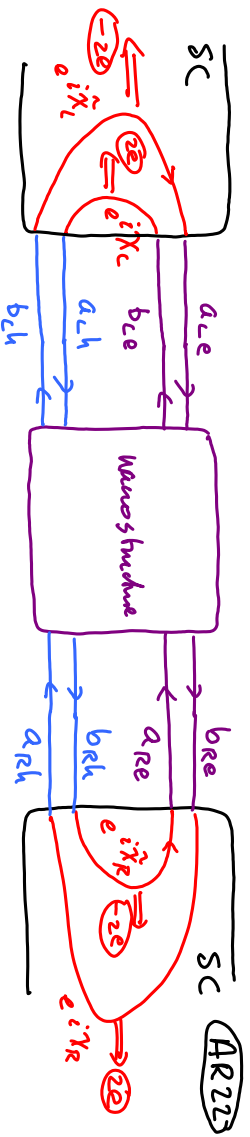
electrons:
$$\underline{b}_e = \hat{S} \begin{pmatrix} a_l \\ a_r \end{pmatrix} = \hat{S} \underline{a}_e, \quad (1)$$

holes:
$$\underline{b}_h = \begin{pmatrix} b_l \\ b_r \end{pmatrix} = \hat{S}^* \begin{pmatrix} a_r \\ a_l \end{pmatrix} = \hat{S}^* \underline{a}_h \quad (2)$$

combined S -matrix for nanosstructure:

[Block-diagonal, since it does not convert electrons to holes]

$$\begin{pmatrix} \underline{b}_e \\ \underline{b}_h \end{pmatrix} = \begin{pmatrix} \hat{S} & 0 \\ 0 & \hat{S}^* \end{pmatrix} \begin{pmatrix} \underline{a}_e \\ \underline{a}_h \end{pmatrix} \quad (3)$$



Andreas reflection:

electrons $\leftarrow$ holes:

$$\tilde{\chi}_{l,R} = \eta_{l,R} - \text{across } E/\Delta$$

holes $\leftarrow$ electrons:

$$\tilde{\chi}_{l,R} = -\eta_{l,R} - \text{across } E/\Delta$$

$$\underline{a}_h = \begin{pmatrix} a_{lh} \\ a_{rh} \end{pmatrix} = \begin{pmatrix} e^{i\tilde{\chi}_l} & 0 \\ 0 & e^{i\tilde{\chi}_r} \end{pmatrix} \begin{pmatrix} b_{le} \\ b_{re} \end{pmatrix} = \hat{S}_{eh} \underline{b}_e, \quad (2)$$

Combined:

$$\begin{pmatrix} \underline{a}_e \\ \underline{a}_h \end{pmatrix} = \begin{pmatrix} 0 & \hat{S}_{eh} \\ \hat{S}_{he} & 0 \end{pmatrix} \begin{pmatrix} \underline{b}_e \\ \underline{b}_h \end{pmatrix} \quad (3)$$

Insert (2.2.3) into (2.1.3) to eliminate $\underline{a}$:

(AR23)

$$\begin{pmatrix} \underline{b}_e \\ \underline{b}_h \end{pmatrix}^{(2.1.3)} = \begin{pmatrix} \hat{S} & 0 \\ 0 & \hat{S}^* \end{pmatrix} \begin{pmatrix} \underline{a}_e \\ \underline{a}_h \end{pmatrix} = \begin{pmatrix} \hat{S} & 0 \\ 0 & \hat{S}^* \end{pmatrix} \begin{pmatrix} \hat{S}_{he} & \hat{S}_{eh} \\ \hat{S}_{he} & 0 \end{pmatrix} \begin{pmatrix} \underline{b}_e \\ \underline{b}_h \end{pmatrix} \quad (1)$$

$$b = \hat{\Pi} b$$

$$\hat{\Pi} \equiv \begin{pmatrix} 0 & \hat{S} \hat{S}_{he} \\ \hat{S}^* \hat{S}_{eh} & 0 \end{pmatrix} \leftarrow \begin{cases} \text{must have one} \\ \text{eigenvalue} = 1 \end{cases}$$

$$\Rightarrow 0 = \det(\hat{\Pi} - 1) \quad (\text{Use eg. determine allowed values of } E) \quad (2)$$

$$= \det \begin{pmatrix} -1 & \hat{S} \hat{S}_{eh} \\ \hat{S}^* \hat{S}_{he} & -1 \end{pmatrix} = (-1) \det(-1 + \hat{S}^* \hat{S}_{he} \hat{S} \hat{S}_{eh}) \quad (3)$$

$$\left[\text{we used: } \det \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \det A \det(D - CA^{-1}B) \right] \quad (4)$$

Condition (3) ultimately yields:

$$E = \Delta \sqrt{1 - T \sin^2 \varphi/2} \quad (5)$$

where $\varphi = \varphi_L - \varphi_R =$ phase difference between L, R superconductors.

• If $\varphi = 0$,

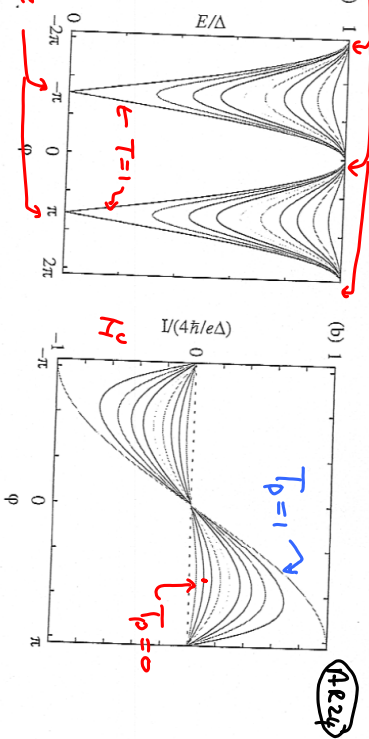
for any T_P

bound states are at edge of continuum

$$E/\Delta = \sqrt{1 - T \sin^2 \varphi/2}$$

• Minimum of E_P at $\varphi = \pm \pi$

(a) The energies of Andreev bound states versus φ for T_P ranging from 0.1 (upper curve) to 1 (lowest curve) with step 0.1. (b) Corresponding superconducting currents (the upper curve at positive φ corresponds to $T_P = 1$).



For many channels, there is a bound state for each channel, with energy:

$$E_P = \Delta \sqrt{1 - T_P \sin^2 \varphi/2} \quad (1)$$

So far, we considered bound states with $E_P > 0$: excitations. (2)

There are also

" " " $E_P < 0$: filled, in ground state

They contribute to ground state energy:

$$E_G = \varphi\text{-independent part} - \sum_P E_P(\varphi) \quad (3)$$

Derivation of (3.5)

(AR25)

$$\hat{S}^K \hat{S}_{ue} \hat{S}^L = \begin{pmatrix} \tau^+ & t^+ \\ t^+ & \tau^+ \end{pmatrix} \begin{pmatrix} e^{i\chi_L} & 0 \\ 0 & e^{i\chi_R} \end{pmatrix} \begin{pmatrix} \tau & t^+ \\ \tau^+ & 0 \end{pmatrix} \begin{pmatrix} e^{i\tilde{\chi}_L} & 0 \\ 0 & e^{i\tilde{\chi}_R} \end{pmatrix} \quad (1)$$

$$= \begin{pmatrix} \tau^+ e^{i\chi_L} & t^+ e^{i\chi_R} \\ t^+ e^{i\chi_L} & \tau^+ e^{i\chi_R} \end{pmatrix} \begin{pmatrix} \tau e^{i\tilde{\chi}_L} & t^+ e^{i\tilde{\chi}_R} \\ t e^{i\tilde{\chi}_L} & \tau^+ e^{i\tilde{\chi}_R} \end{pmatrix} \quad (2)$$

$$= \begin{pmatrix} R e^{i\chi_L} + t^+ t e^{i\chi_R} & e^{i\tilde{\chi}_L} (\tau^+ t' e^{i\chi_L} + t^+ \tau' e^{i\chi_R}) e^{i\tilde{\chi}_R} \\ (t^+ \tau e^{i\chi_L} + \tau^+ t e^{i\chi_R}) e^{i\tilde{\chi}_L} & (t^+ t' e^{i\chi_L} + R e^{i\chi_R}) e^{i\tilde{\chi}_R} \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad (3)$$

$$0 = \det(\hat{\pi} - 1) = -\det \begin{pmatrix} -1 + \hat{S}^K \hat{S}_{ue} \hat{S}^L & \\ & \end{pmatrix} = - \begin{vmatrix} a-1 & b \\ c & d-1 \end{vmatrix} = -(ad+1) + a+d+b+c \quad (4)$$

$$\Rightarrow \underline{(bc-ad) + a+d = 1}$$

Recall: $\begin{pmatrix} \tau & t^+ \\ t & \tau^+ \end{pmatrix} = \begin{pmatrix} \sqrt{R} e^{i\Theta} & \sqrt{T} e^{i\chi} \\ \sqrt{T} e^{i\chi} & -\sqrt{R} e^{i(2\chi-\Theta)} \end{pmatrix} \Rightarrow \begin{cases} \tau \tau^+ = \tau' \tau'^+ = R \\ t t'^+ = t'^+ t = T \\ \tau \tau^+ t t'^+ = -RT \\ \tau'^+ \tau'^+ t t^+ = -RT \end{cases} \quad (5)$

$$ad = [(\tau^2 + \tau'^2) e^{i(\chi_L + \chi_R)} + RT (e^{2i\chi_L} + e^{2i\chi_R})] e^{i(\tilde{\chi}_L + \tilde{\chi}_R)} \quad (\text{AR26}) \quad (1)$$

$$bc = [(-RT - RT) e^{i(\chi_L + \chi_R)} + RT (e^{2i\chi_L} + e^{2i\chi_R})] e^{i(\tilde{\chi}_L + \tilde{\chi}_R)} \quad (2)$$

$$a+d = R [e^{i(\chi_L + \tilde{\chi}_L)} + e^{i(\chi_R + \tilde{\chi}_R)}] + T [e^{i(\chi_R + \tilde{\chi}_L)} + e^{i(\chi_L + \tilde{\chi}_R)}] \quad (3)$$

$$\tilde{\chi}_{L,R} = \varphi_{L,R} - \arccos E/D, \quad \chi_{L,R} = -\varphi_{L,R} - \arccos E/D \quad (4)$$

$$\begin{aligned} \left. \begin{aligned} \tilde{\chi}_L + \tilde{\chi}_L \\ \chi_R + \tilde{\chi}_R \end{aligned} \right\} &= -2 \arccos E/D \equiv \Theta \\ \tilde{\chi}_L + \chi_R &= \varphi_L - \varphi_R - 2 \arccos E/D \\ \tilde{\chi}_R + \chi_L &= \varphi_R - \varphi_L - 2 \arccos E/D \end{aligned} \quad (5)$$

$$ad - bc = \underbrace{(\tau^2 + \tau'^2 + 2RT)}_{(T+R)^2=1} e^{i(\chi_L + \tilde{\chi}_L)} e^{i(\chi_R + \tilde{\chi}_R)} \quad (6)$$

$$a+d = [(1-\tau)2 + 2T \cos \varphi] e^{-i\Theta} = 2(1-2T \sin^2 \varphi/2) e^{-i\Theta} \quad (7)$$

(25.4)

$$0 = a_d - b_c - (a+d) + 1 = e^{-2i\theta} - 2(1 - 2T \sin^2 \varphi_2) e^{-i\varphi} + 1 \quad \text{AR26} \quad (1)$$

$$\Rightarrow \cos \theta = 1 - 2T \sin^2 \varphi_2 \quad (2)$$

$$1 - T \sin^2 \varphi_2 = \frac{1}{2}(\cos \theta + 1) = \cos^2 \theta / 2 = \left(\cos(\cos \epsilon / \Delta) \right)^2 = \frac{\epsilon}{\Delta} \quad (3)$$

 $\Rightarrow$

$$E = \Delta \sqrt{1 - T \sin^2 \varphi_2}$$

□ (4)

1.8.5 Josephson effect : Supercurrent flows without voltage AR28

Relation between potential and s.c. phase, for finite s.c.

$$\text{Consider: } \hat{H}_{\text{scs}} \rightarrow \hat{H}_{\text{scs}} - eV \hat{N} \quad \text{constant uniform potential} \quad (1)$$

$$\text{single-particle wave function: } \psi(t) \rightarrow \psi(t) e^{ieVt/\hbar} \quad (2)$$

$$\text{Gap: } \Delta(t) \propto \langle \hat{\psi}(t) \hat{\psi}(t) \rangle \rightarrow \Delta(t) e^{i2eVt/\hbar} \quad (3)$$

$$|\Delta(t)\rangle e^{i\varphi(t)} \rightarrow |\Delta(t)\rangle e^{i(\varphi(t) + 2eVt/\hbar)} \quad (4)$$

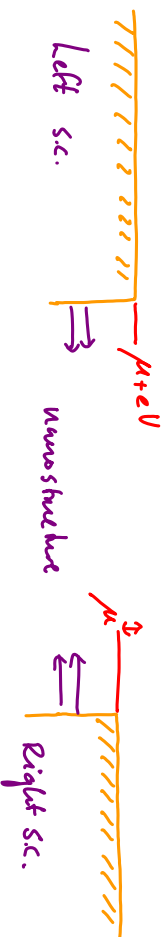
$\Rightarrow$ Constant potential
induces changing phase:

$$\dot{\varphi} = 2eV/\hbar$$

(5)

Consider slight line also between left and right SC:

(AR29)



Phase difference changes: $\dot{\varphi} = \dot{\varphi}_L - \dot{\varphi}_R \stackrel{(20.5)}{=} zeV/\hbar$ (1)

Binding energy of all Andreev bound states changes $\dot{E}_A = \sum_p \dot{E}_p(\varphi) = \sum_p \frac{\partial E_p(\varphi)}{\partial \varphi} \dot{\varphi} \stackrel{zeV/\hbar}{}$ (2)

Power is dissipated because $IV = P = \dot{E}_G \stackrel{(24.3)}{=} -\dot{E}_A = -zeV \sum_p \frac{\partial E}{\partial \varphi}$ Super current flows:

Super current: $I_S = -\frac{ze}{\hbar} \sum_p \frac{\partial E_p(\varphi)}{\partial \varphi} \stackrel{(24.1)}{=} \frac{\pi \Delta}{ze} g_0 \sum_p \frac{T_p \sin \varphi}{\sqrt{1 - T_p \sin^2 \varphi/2}}$ (Flows even for $V \rightarrow 0!$) (4)

Josephson effect: phase difference $\varphi_L - \varphi_R \neq 0$ induces flow of supercurrent

I_S is odd, periodic function of φ , $I_S(\varphi=0) = 0$ (AR30)

- Historically, term "Josephson junction" referred to

Tunnel junction: $I_S(\varphi) = \left(\frac{\pi \Delta}{ze} g_0 \sum_p T_p \right) \sin \varphi$ (with $T_p \ll 1$) I_c (1)

Critical current: $I_c = \frac{\Delta \pi}{ze} \underbrace{g_0 T}_{g_N} = \frac{\Delta \pi}{ze} g_N$ (2)

Josephson energy: $E_J(\varphi) = -\left(\frac{\hbar}{2e}\right) I_c \cos \varphi$ (Assume such that $I_S = \frac{2e}{\hbar} \partial_\varphi E_J(\varphi)$) (3)

Maximum supercurrent: $\varphi = \pi/2$ (4)

- More generally, any nondestructive between two SC. is a Josephson device:

For point contact ($T=1$): $I_c(\varphi) = \frac{\pi \Delta}{ze} g_0 \sum_p T_p \frac{1}{2} \frac{\sin \varphi}{\sqrt{1 - \sin^2 \varphi/2}}$ (5)

Maximum at $\varphi = \pi$ $= I_c \sin \varphi/2$, $I_c = \frac{\pi \Delta}{ze} g_N$ (6)