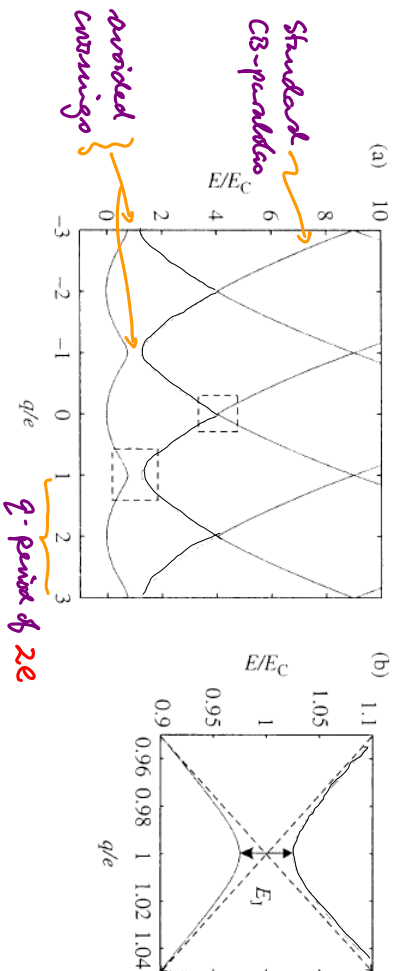


3.5.3 Cooper pair box : $E_C \gg E_J$ "Charging dominated"

MQM17

N is good quantum number, φ fluctuates

$$H = -\frac{E_J}{2} (\hat{\psi}(N+2) + \hat{\psi}(N-2)) + E_C (N - q/e)^2 \quad (1)$$



(a) Energy levels in a Cooper pair box at $E_J/E_C = 0.5$ versus q . The quantum states are almost pure charge states except at the parabola crossings. (b) The vicinity of the lowest crossings at $q = 1$ ($E_J/E_C = 0.05$). The eigenstates are superpositions of the two states that cross. A similar picture of repelling levels is valid for any crossing (for example at $q = 0$).

Focus on avoided crossing near degeneracy point:

$$q/e \simeq 1 \quad (1)$$

Relevant charge states $|N\rangle$: $|10\rangle, |12\rangle$ (2)

Energy for $E_J = 0$:

$$E_C(N) = E_C (N - q/e)^2$$

$$E_0 = E_C (q/e)^2$$

$$E_2 = E_C (2 - q/e)^2$$

Energy difference:

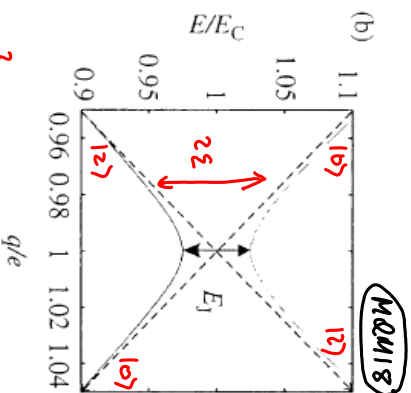
$$E_2 - E_0 = 4E_C (1 - q/e) \equiv 2\varepsilon \quad (3)$$

Effective Hamiltonian in

space $\{|0\rangle, |2\rangle\}$:

(valid provided $\varepsilon \ll E_C$)

$$\hat{H} = E_C + \begin{bmatrix} \varepsilon & E_{J/2} \\ E_{J/2} & -\varepsilon \end{bmatrix} \quad (4)$$



Eigenenergies: $E_{\pm} = E_c \pm \sqrt{E_c^2 + (E_J/2)^2}$ (1) MRM19

Eigenstates: $\begin{Bmatrix} |+\rangle \\ |-\rangle \end{Bmatrix} = \frac{1}{\sqrt{2}} \sqrt{1 \pm \sin \Theta} \begin{Bmatrix} |0\rangle \cos \Theta + |2\rangle (\sin \Theta \pm 1) \end{Bmatrix}$ (2)

$\Theta = \arctan(2\varepsilon/E_J)$ (3)

Normalization: $\langle \pm | \pm \rangle = \frac{1}{2} \frac{1}{1 \pm \sin \Theta} [\cos^2 \Theta + \sin^2 \Theta \pm 2 \sin \Theta + 1] = 1$ ✓ (4)

Far away from dipoint: $\varepsilon/E_J \rightarrow \pm \infty$, upper sign: $|+\rangle \rightarrow |2\rangle$ lower sign: $|+\rangle \rightarrow |0\rangle$
 $\Theta \rightarrow \pm \pi/2$ $|-\rangle \rightarrow |0\rangle$ $|-\rangle \rightarrow |2\rangle$ (5)

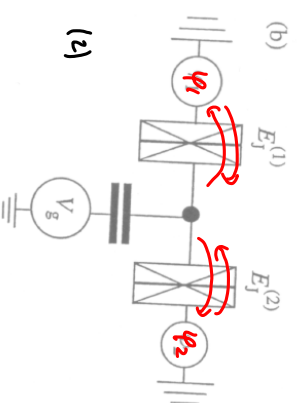
At degeneracy point: $\varepsilon = 0$, $\begin{Bmatrix} |+\rangle \\ |-\rangle \end{Bmatrix} = \frac{1}{\sqrt{2}} \begin{Bmatrix} |0\rangle \mp |2\rangle \end{Bmatrix}$ (6)

Minimum splitting: E_J superpositions of different charge states!

Crossing at higher energies, between $|N\rangle$ and $|N+2m\rangle$ MRM20
 are also avoided, with splitting $E_I (E_J/E_c)^{m-1} \ll E_J$ (1)

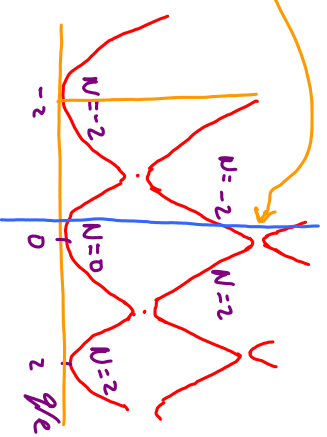
Superconducting SSET for $E_c \gg E_J$:

$E_J \rightarrow E_J^{(54)}(\varphi) = \left[E_J^2 + E_{J2}^2 + 2 E_J E_{J2} \cos(\varphi_{12}) \right]^{1/2}$ (2)
 $\varphi = \varphi_1 - \varphi_2$



Consider g/e away from degeneracy point ($\varphi_{\text{Stewart}} = 0$):
 Unidirectional tunneling of Cooper pairs from

$|0\rangle \leftrightarrow |2\rangle \leftrightarrow |0\rangle$ lowest energy by $E^{(2)}$.
 $\leftrightarrow 1-2 \leftrightarrow$



$$E^{(2)} = - \left(\frac{E_J^{(q)}}{2} \right)^2 \left[\frac{1}{\Delta E_+} + \frac{1}{\Delta E_-} \right] \quad (1)$$

(M04M21)

energy cost of intermediate state $\Delta E_{\pm} = E_{\pm 2} - E_0$ (2)

Current through SSET (with $E_C \gg E_J$):

(M04M3)

$$I_S(\varphi_2) = \frac{2e}{h} \partial_{\varphi_2} E(\varphi_2) \quad \text{Phase-dependent part of ground state energy} \quad (3)$$

(see 20.3)

$$= \frac{2e}{h} \partial_{\varphi_2} \frac{1}{4} \left[E_J^2 + E_{J2}^2 + 2 E_J E_{J2} \cos(\varphi_{12}) \right] \left[\frac{1}{\Delta E_+} + \frac{1}{\Delta E_-} \right] \quad (4)$$

$$I_S = \frac{e}{h} E_{J1} E_{J2} \sin \varphi_{12} \left[\frac{1}{\Delta E_+} + \frac{1}{\Delta E_-} \right]$$

(5)

3.5.4 Cooper pair box: $E_J \gg E_C$ "Tunneling dominated" (M04M22)

φ is good quantum number, N frustrated

$$H(\varphi) \stackrel{(12.5)}{=} E_C \left(-2i \frac{\partial}{\partial \varphi} - 2/e \right)^2 - E_J \cos \varphi \quad (1)$$

$\frac{\hbar^2}{8E_C}$ $\frac{2/e}{\hbar}$

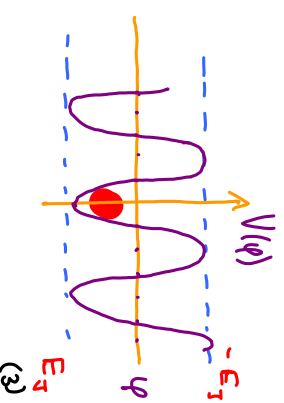
"massive particle": $E_C a^2 = \frac{\hbar^2}{8m}$ Periodic potential.

$$m = \frac{\hbar^2}{8E_C} \frac{1}{a^2} \quad (2)$$

small $E_C \Rightarrow$ heavy, almost classical particle
Particle is "trapped near potential minimum"

$\Rightarrow$ phase is fixed

(frustration in phase: $S\varphi \sim (E_C/E_J)^{1/4} \ll \pi$).



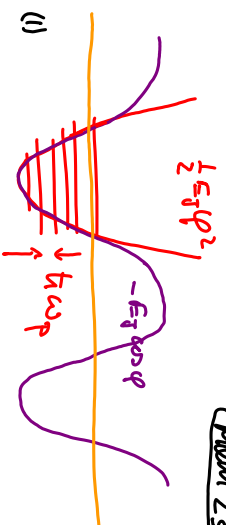
Low-energy excitations near minima:

Figure 23

Approximate by harmonic oscillator:

$$-E_J \cos \varphi \approx -E_J + \frac{1}{2} E_J \varphi^2$$

"spring constant" $\equiv k = E_J$



$\Rightarrow$ low-lying states are equidistant, with spacing

$$\hbar \omega_p = \hbar \sqrt{\frac{E_J}{m}} = \hbar \sqrt{E_J \frac{8 E_C}{\hbar^2}} = \sqrt{8 E_J E_C} \ll E_J \quad (2)$$

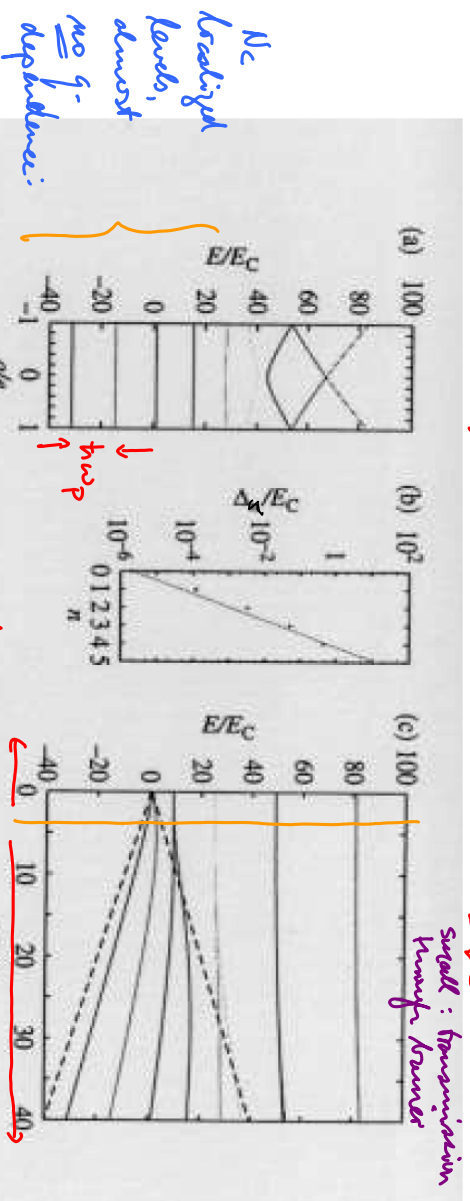
$\hookrightarrow$ "plasmon frequency"

Number of "localized states" (that cannot tunnel through barrier)

$$\text{can be shown to be } N_c = \left(\frac{8 E_J}{\pi^2 E_C} \right)^{1/2} \gg 1 \quad [\text{semiclassical estimate}] \quad (3)$$

q -dependence of eigenenergies is exponentially small, but grows with N_c : Figure 24

$$E_n(q) = E_n + \Delta_n \cos(\pi q/e) \quad , \quad \Delta_n \approx 16 \left(E_J^3 E_C / 2\pi^2 \right)^{1/4} e^{-\pi N_c} \cdot e^{-\pi n} \quad (1)$$



N_c localized levels, almost no q -dependence.

localized in N localized in q

(a) Energy levels in a CPB at $E_J/E_C = 20$ versus q . The states in the energy interval $(-E_J, E_J)$ are localized in phase. (b) The tunneling amplitudes determining the charge dependence of the phase-localized states. The solid line depicts the semiclassical result. (c) Evolution of the states with increasing E_J/E_C at $q = e$.

Estimate number of bound states N_c

for particle w. mass $m = \frac{\hbar^2}{8E_c}$ (1)

in potential $V(x) = -E_J \cos x/a$ (2)

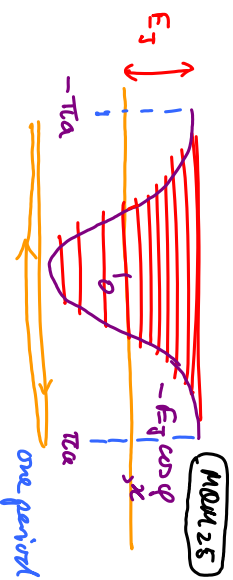
Semi-classical

Born-Sommerfeld

quantization:

$$(n + \frac{1}{2})\hbar = \oint dx p(x) = \hbar \int_0^{\pi a} dx \sqrt{2m[E - V(x)]} \quad (3)$$

integrate over period of motion



At top of barrier: $E = E_J$, $n = N_c = \#$ of bound states (4)

$$(N_c + \frac{1}{2})\hbar = 4 \int_0^{\pi a} dx \sqrt{2m} \cdot [E_J (1 + \cos x/a)]^{1/2} \quad (5)$$

$\xrightarrow{a \gg 1}$

$$= 4 \sqrt{2m E_J} \left\{ 2a \sqrt{\cos \frac{x}{a} + 1} \tan \frac{x}{2a} \right\}_0^{\pi a} \quad (6)$$

For $x \rightarrow a(\pi - \delta)$
 $\{ \rightarrow 2a [\frac{1}{2} \delta^2]^{1/2} \frac{1}{\delta/2}$
 $= 4\sqrt{2}a$

$$N_c \approx \frac{32}{\hbar} \sqrt{m E_J} = \frac{32a}{2\pi} \sqrt{\frac{E_J}{8E_c}} \left[\frac{x^2}{8E_c} \frac{1}{a^2} \right]^{1/2} = 2 \left[\frac{8 E_J}{\pi^2 E_c} \right]^{1/2} = N_c \quad (7)$$

How does q -dependence of eigenvalues arise?

(MOD 2.6)

$$H(q) \psi(q) \stackrel{(12.5)}{=} \left(E_c \left(-2i \frac{\partial}{\partial q} - q/e \right)^2 - E_J \cos q \right) \psi(q) = E \psi(q) \quad (1)$$

It seems: q -dependence can be gauged away:

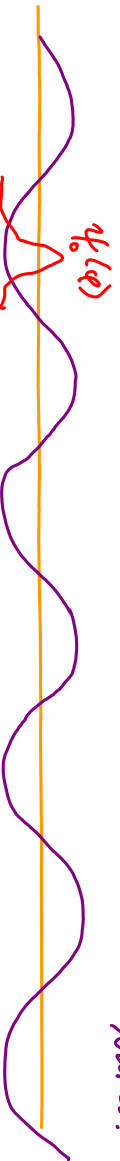
If $\psi_0(q)$ solves (1) for $q=0$, (harmonic w.f.) (2)

$$\text{then } \psi_q(q) = \psi_0(q) e^{i \frac{q}{2} q} \quad " \quad " \quad q \neq 0. \quad (3)$$

$$\text{But: } \psi_q \text{ is not periodic: } \psi_q(q) \neq \psi_q(q + 2\pi) ? \quad (4)$$

$\uparrow$ for $q \neq 0$ (see (3.1))

This problem "should not matter" when $\psi_0(q)$ is strongly localized, but...



Proper description must involve a superposition of states:

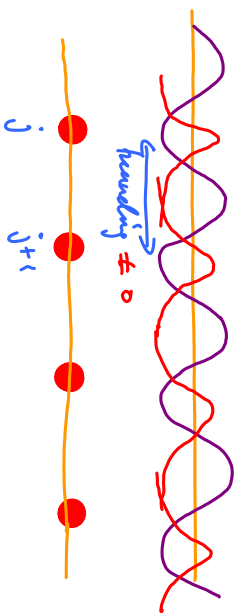
(MOM27)

$$\tilde{\psi}(\varphi) = \sum_j a_j \psi_0(\varphi + 2\pi j) \quad \text{harmonic oscillator wave function for "localized" electron} \quad (1)$$

By construction: $\tilde{\psi}(\varphi) = \tilde{\psi}(\varphi + 2\pi)$ ✓ (2)

If tunneling is small but non-zero, original model can be mapped to tight-binding chain:

$$\langle \varphi | j \rangle \equiv \psi_0(\varphi + 2\pi j)$$



$$H = \sum_j \varepsilon |j\rangle \langle j| + \sum_j t (|j+1\rangle \langle j| + |j-1\rangle \langle j|) \quad (3)$$

"on-site energy": $\varepsilon = E_0 = \frac{1}{2} \hbar \omega_p$ (4)

"tunneling amplitude": $t = \int d\varphi \psi_0^*(\varphi) \psi_0(\varphi + 2\pi)$ (5)

Solution of tight-binding chain:

(MOM28)

$$|k\rangle = \sum_{j'} e^{ikj'} |j'\rangle + \sum_j t (e^{ik(j'-1)} |j'\rangle + e^{ikj(j'+1)} |j'\rangle) \quad (1)$$

$$H|k\rangle = \varepsilon \sum_j e^{ikj} |j\rangle + \sum_j t e^{ikj} (|j+1\rangle + |j-1\rangle) \quad (2)$$

$$= (\varepsilon + e^{-ik} + e^{ik}) \sum_j e^{ikj} |j\rangle \quad (3)$$

$$= \varepsilon(e) |k\rangle, \quad \text{with } \varepsilon(e) = \varepsilon + 2t \cos k \quad (4)$$

Corresponding wave-function:

$$\psi_k(x) \equiv \langle \varphi | k \rangle = \sum_{j'} e^{ikj'} \psi_0(\varphi + 2\pi j) \quad (5)$$

$$\Psi(q) = \langle q | k \rangle = \sum_{q=0}^{\infty} \psi_0(q + 2\pi j) \quad \text{corresponds to } k = 0 \quad (1)$$

For $q \neq 0$, we need to gauge-transform:

$$\Psi_{q \neq 0}(q) = \Psi_{q=0}(q) e^{i \frac{1}{2} q e \varphi} \quad (2)$$

$$= \sum_j \underbrace{\psi_0(q + 2\pi j)}_{\neq 0 \text{ only for } q \approx -2\pi j} e^{i \frac{1}{2} q e \varphi(-2\pi j)} \quad (3)$$

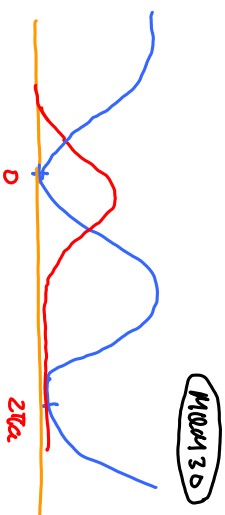
$$= \sum_j \psi_0(q + 2\pi j) e^{-i(\pi q/e)j} \quad (4)$$

This is wave-function of the state $|k\rangle$ of (2.8.5),

with $k = -\pi q/e$, and energy $\epsilon(k) = \epsilon + 2t \cos \pi q/e$ (5)

Estimate hopping rate:

$$t = \int dq \psi_0^*(q) \psi_0(q + 2\pi) \quad (11.5)$$



$$\sim e^{-\frac{1}{4} \int dx |\rho(x)|} \quad \text{WKB-expression for tunneling amplitude.}$$

$$\sim e^{-\frac{1}{4} \int_0^{2\pi a} dx \sqrt{2m E_F} \sqrt{1 - \cos(2q/a)}} \quad (2.5.3) \equiv \frac{1}{2} N_c h$$

$$\sim e^{-\pi N_c}$$

hopping depends on "cut-off frequency"