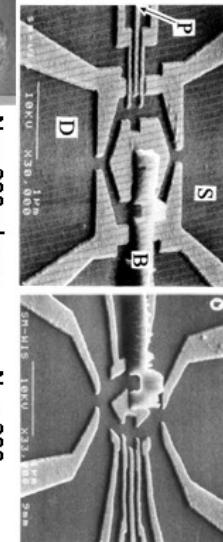


## S.4 Quantum Dots

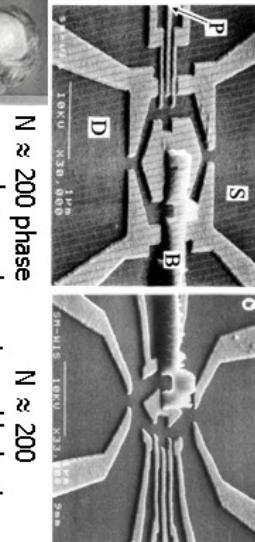
Typically realized in 2-DQSS.

(QD2)

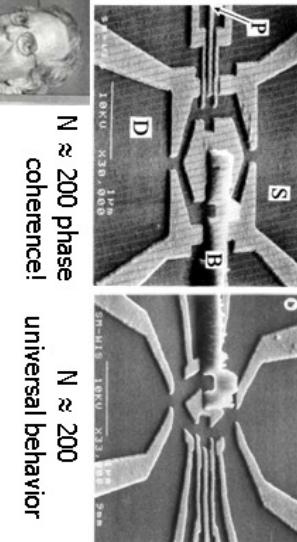
Yacoby et al., PRL, 1995



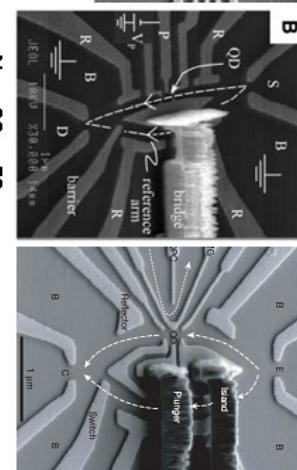
Schuster et al., Nature, 1997



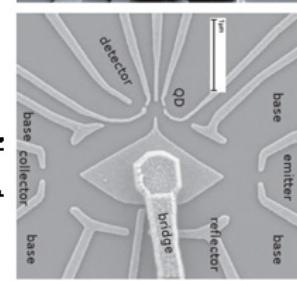
Ji et al., Science 2000



Avinur-Kalish, Nature, 2005



Zaffalon et al., PRL, 2008



Moty Heiblum

$N \approx 200$  phase coherence!

$N \approx 200$  universal behavior

$N \approx 30 - 50$  many levels, Kondo

$N = 1$  pure Kondo

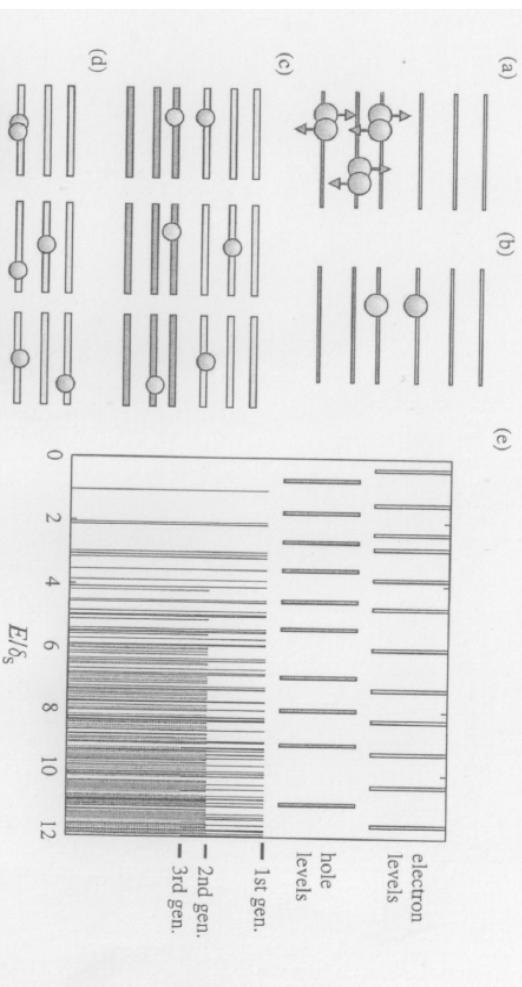
For small dots : new energy scale. finite level spacing

### S.4.1 From levels to states

Single-particle levels :  $\{E_k\}$

Occupation numbers :  $\{n_k\}$ ,  $n_e = 0, 1$

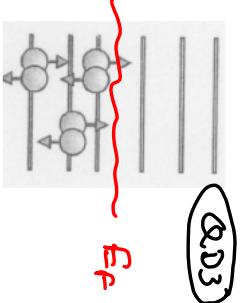
(QD2)



Levels and states in quantum dots. (a) The ground state of the dot with  $N = 6$  electrons. (b) The first excited state. (c) Some states of the first generation. (d) Some states of the second generation. (e) The number of states exponentially increases with energy.

Ground state: Filled Fermi sea:

$$|\Psi\rangle = \prod_{E_k < E_F} c_{k\uparrow}^\dagger c_{k\downarrow} |F\rangle, \text{ set } E_F = 0$$



Evaluations relative to ground state:

1. generation: single particle ( $k$ ) - hole ( $k'$ ) excitations:

$$|S\rangle = c_{k\uparrow}^\dagger c_{k'\downarrow} |\Psi\rangle, \text{ energy: } E_S = E_k - E_{k'} > 0$$

2. generation: double particle ( $k$ ) - hole ( $k'$ ) excitations:

$$|S'\rangle = c_{k\uparrow}^\dagger c_{k'\uparrow} c_{k\downarrow}^\dagger c_{k'\downarrow} |\Psi\rangle,$$

$$E_s = E_{k\uparrow} + E_{k'\downarrow} - E_{k'\uparrow} - E_{k\downarrow}$$

n.k generation:  $E_S = \sum_k E_k - \sum_{k'} E_{k'}, \text{ with } E_k - E_{k'} \geq 0 \text{ for all pairs}$

Claim: density of eh-states grows rapidly:  $\frac{dN}{dE} \approx \frac{1}{\delta_S} e^{\pi\sqrt{2E/3}\delta_S}$  (QD4)

$$Z = \sum_S e^{-E_S/k_B T}$$

constant both electron and hole bands

$$\ln Z = \ln \prod_{k=1}^{\infty} (1 + e^{-E_k/k_B T})^2 e^{-2 \sum_k \ln( )}$$

for uniform band opening

$$\ln Z = \sum_{Ss} \int_0^{\infty} dE_S \ln (1 + e^{-E_S/k_B T}) = \frac{k_B T}{\delta_S} \underbrace{\int_0^{\infty} dx \ln (1 + e^{-x})}_{\pi^2/6}$$

$x = E_S/k_B T$

$$\text{Mathematically: } Z = \int_0^{\infty} dE_S \frac{dN(E_S)}{dE_S} e^{-E_S/k_B T} = e^{\frac{k_B T}{\delta_S} \pi^2/6} \quad (4)$$

$$y = \sqrt{E_S/\delta_S}$$

Substitution

$$dy = \frac{1}{2} \frac{dE_S}{\delta_S} \quad (5)$$

$$= \int_0^{\infty} dy \frac{2y}{\delta_S} e^{\frac{\pi^2}{6} y^2} e^{-\delta_S/T y^2} \simeq e^{\frac{\pi^2}{6} T/\delta_S} \cdot \# e^{-\delta_S/T (y^2 - \frac{T}{6} T/\delta_S)^2} e^{\frac{T}{6} T/\delta_S}$$

constant  $O(1/T\delta_S)$

## Interactions

$$H_0 = \sum_{i\sigma} E_i a_{i\sigma}^\dagger a_{i\sigma}$$

$$\hat{H}_u = \int d\vec{r}_1 \int d\vec{r}_2 \rho(\vec{r}_1) U(|\vec{r}_1 - \vec{r}_2|) \rho(\vec{r}_2) \quad (1)$$

e<sub>iσ</sub> × k<sub>mσ'</sub>

$$\rho(\vec{r}_1) = \sum_{\sigma} \psi_{\sigma}^*(\vec{r}_1) \psi_{\sigma}(\vec{r}_1) = \sum_{\sigma} \psi_i^*(\vec{r}_1) \psi_i(\vec{r}_1) a_{i\sigma}^\dagger a_{i\sigma} \quad (2)$$

$$\psi_{\sigma}(\vec{r}_1) = \sum_j \psi_j(\vec{r}_1) a_{j\sigma} \quad \text{destroy particle at position } \vec{r}_1 \quad (3)$$

$$H_u = \sum_{ijlm} \sum_{\sigma\sigma'} (a_{i\sigma}^\dagger a_{j\sigma}) U_{ijlm} (a_{l\sigma'}^\dagger a_{m\sigma'}) \quad (4)$$

$$U_{ijlm} = \int d\vec{r}_1 \int d\vec{r}_2 \psi_i(\vec{r}_1) \psi_j^*(\vec{r}_1) U(|\vec{r}_1 - \vec{r}_2|) \psi_l(\vec{r}_2) \psi_m^*(\vec{r}_2) \quad (5)$$

"orthodox model"

$$H_{\text{charging}} = E_{\text{cc}} (\hat{N}) = E_c (\hat{N} - \frac{C_g V_g}{E_c})^2 \quad (6)$$

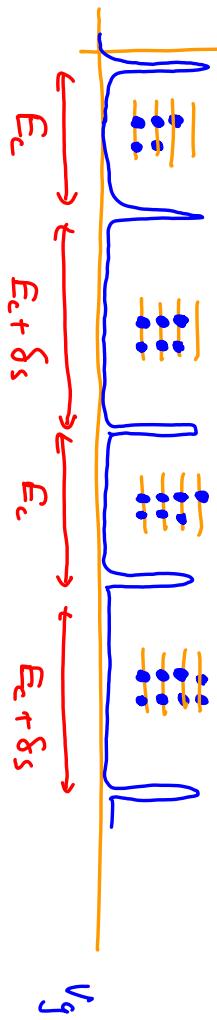
$$N = \sum_{i\sigma} a_{i\sigma}^\dagger a_{i\sigma} = \text{total charge} \quad (7)$$

Confined electrons as for large islands, but level spacing matters, too.

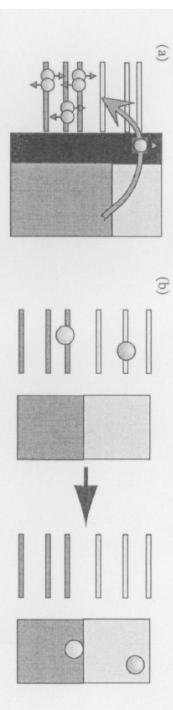
for small dots, typically

$$E_c/\delta_s \approx 2 \text{ to } 10 \quad (8)$$

$$g(V_g) \quad (\text{linear response, } V \approx 0)$$



(a) Tunneling to a discrete state of the dot. (b) Relaxation process in the dot: the electron-hole pair in the dot can transform itself into an electron-hole pair in a nearby lead. No tunneling is involved.

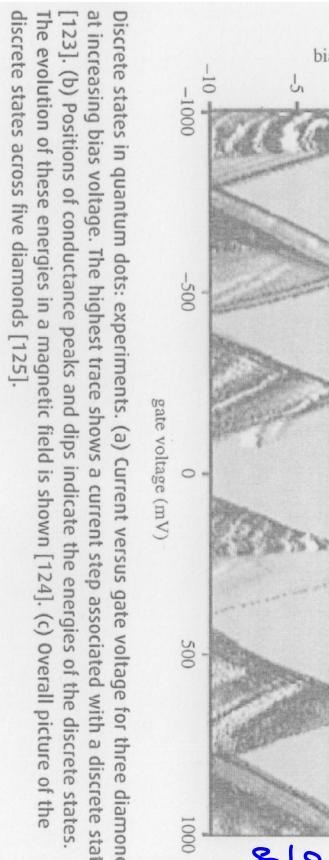
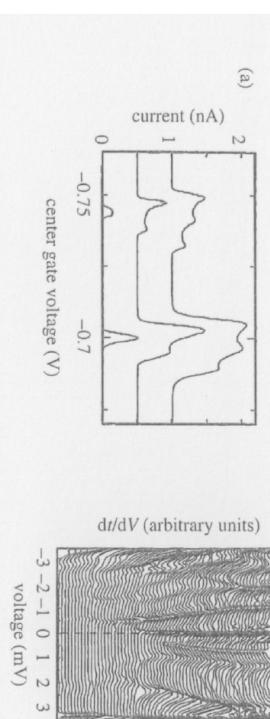


(206)

(205)

Conducting diamonds are decorated with discrete lines

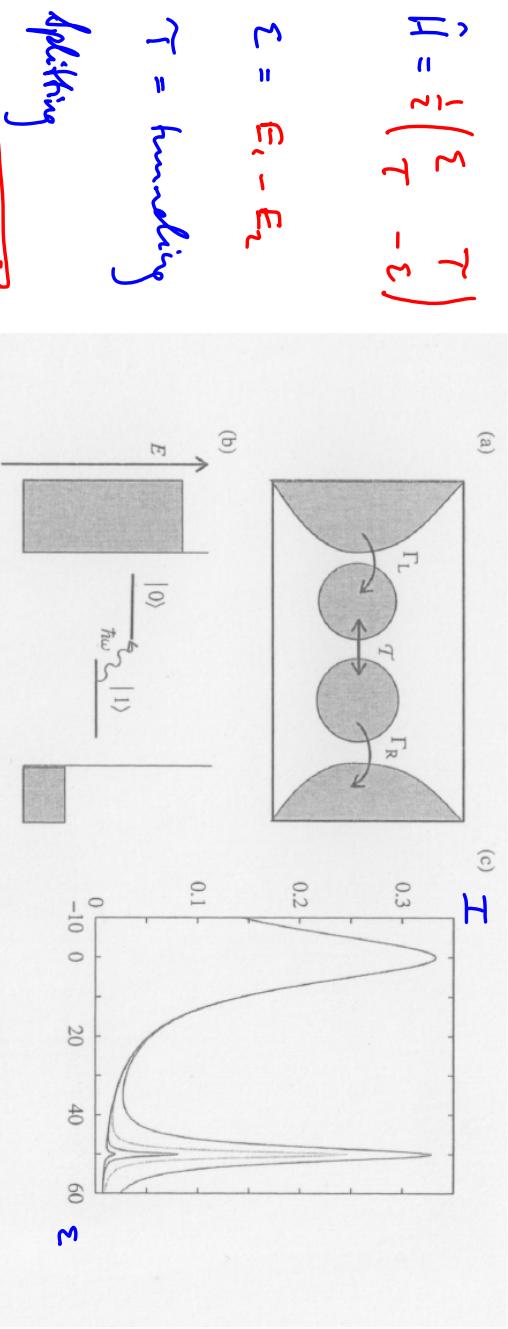
(QD+)



Double quantum dots as charge qubits

(QD8)

$$\text{charge states: } (\mathbf{n}_L, \mathbf{n}_R) = \begin{cases} (1,0) & \equiv |1\rangle, E_1 \\ (0,1) & \equiv |1\rangle \\ & E_2 \end{cases}$$



splitting

$$\hbar\Omega = \sqrt{\varepsilon^2 + \Gamma^2}$$

Double-dot charge qubit: (a) Double dot between two leads. The arrows show the tunnel processes: coherent ( $\Gamma$ ) and incoherent ( $\Gamma_{R,L}$ ). (b) Energy diagram of the effective qubit. Irradiation enables manipulation. (c) Current versus energy mismatch  $\epsilon$  at different irradiation intensities:  $\tilde{\epsilon}/\hbar\Gamma_R \hbar = 1, 3, 9, 27$  from lower to upper curves.  $\Gamma/\hbar\Gamma_R = 10$ ,  $\hbar\omega = 5\Gamma$ . The broad peak (see Eq. (5.36)) is the qubit "leakage." The narrow peak (see Eq. (5.38)) is the result of the resonant irradiation growing with intensity.

modulable moment:

$\Sigma$  or  $\Gamma$

(QD9)

Transport cycle: coherent transitions

$$(0,0) \xrightarrow{(10)} (1,0) \xleftarrow{(11)} (0,1) \rightarrow (0,0) \quad (1)$$

↑  
minimization  
↓

↑ random: only if take 10

how we can manipulate: apply pulse to switch 10)  $\rightarrow$  11)

With driving  $\Sigma(t) = \Sigma + \tilde{\Sigma} \cos \omega t$ ,  $\omega = \Omega$ ,

(2)

one finds:  $I/e = \frac{T^2 \rho_e}{\tau^2 (2 + \rho_e/\rho_i) + \tau_e^2 \rho_e^2 + 4 \Sigma^2}$   $\quad (3)$

(4)

Near resonance of 1st peak:  $I/e = I_{\text{max}} \frac{1}{1 + (\delta \omega/W)^2}$

2nd peak:

$$W = \text{width of peak} = \tau^2 \tilde{\Sigma}^2 (2 + \frac{\rho_e}{\rho_i}) + \frac{\rho_e^2}{16 \tau_e^2} \quad (5)$$