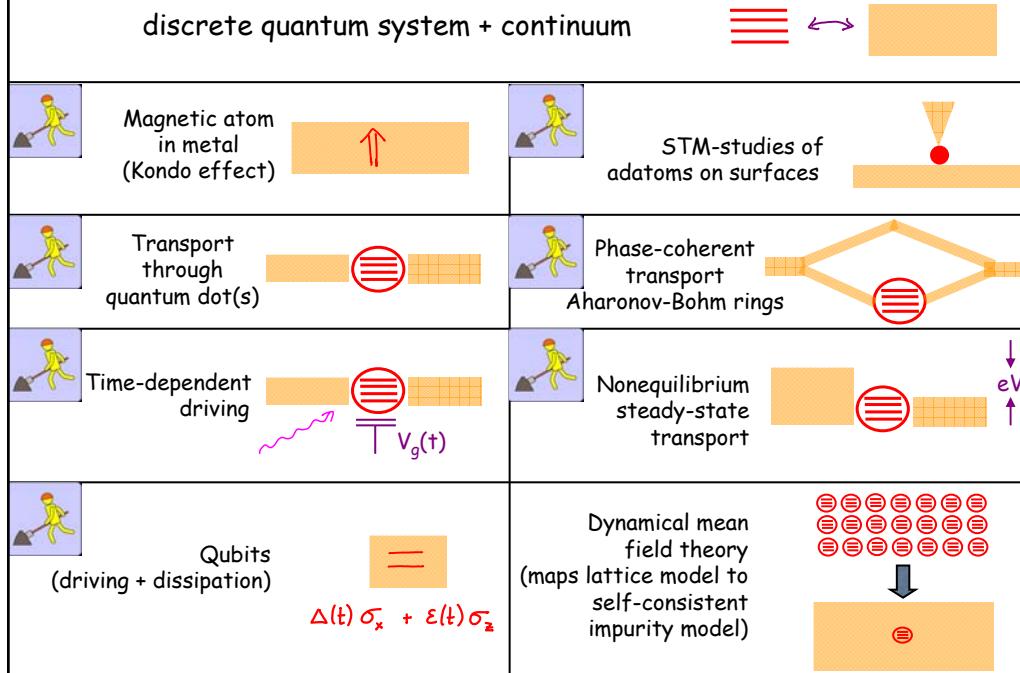


## Numerical Renormalization group for Quantum Impurity Models



## Opening the NRG ( and DMRG) black box



Numerical renormalization group (NRG)  
[Wilson, '75]  
Quantum impurity models

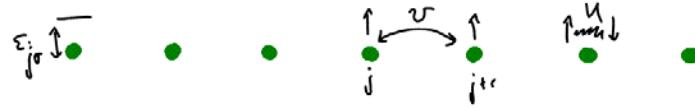
Density matrix renormalization group (DMRG) [White, '92]  
Quantum chain models



- What is NRG good for?
- How does NRG work?
- How does DMRG work?
- Relation between NRG and DMRG
- Many-body dynamics meets quantum information theory



Consider 1-D quantum chain model, e.g. Hubbard model



$$H^{(1)} = \sum_{j=1}^N \sum_{\sigma} \varepsilon_j d_j^\dagger d_j + \sum_{j=1}^N v_j (c_{j0}^\dagger c_{j1} + c_{j1}^\dagger c_j) + \sum_{j=1}^N U_j d_{j0}^\dagger d_{j1} d_{j1}^\dagger d_{j0}$$

local Hilbert space on site i:  $|i\rangle \in \{ |0\rangle, |\uparrow\rangle, |\downarrow\rangle, |\uparrow\downarrow\rangle\}_{i=1}^N = \mathcal{H}_1$

local dimension:  $d = 4$

Hilbert space for 2 sites:  $|i_1, i_2\rangle \in \{ |00\rangle, |0\uparrow\rangle, |0\downarrow\rangle, |0\uparrow\downarrow\rangle, |\uparrow 0\rangle, |\uparrow\uparrow\rangle, |\uparrow\downarrow\rangle, |\uparrow\downarrow\downarrow\rangle, |\downarrow 0\rangle, |\downarrow\uparrow\rangle, |\downarrow\downarrow\rangle, |\downarrow\uparrow\downarrow\rangle, |\downarrow\downarrow\downarrow\rangle\}$

Matrix representation of  $H^{(2)}$  (sparse 16x16 matrix)

$i_1 \backslash i_2$	00	01	02	10	11	12	20	21	22
00	0								
01		$\varepsilon_{2p}$							
02			$\varepsilon_{2p}$						
10				$\varepsilon_{1p} + \varepsilon_{2p} + U_2$					
11					$\varepsilon_{1p}$				
12						$\varepsilon_{1p} + \varepsilon_{2p}$			
20							$\varepsilon_{1p}$		
21								$\varepsilon_{1p} + \varepsilon_{1b}$	
22									$\varepsilon_{1p} + \varepsilon_{1b} + \varepsilon_{2p} + U_1$

full many-body Hilbert space:

MPS 3

$$\mathcal{H}^{(N)} = \bigotimes_{j=1}^N \mathcal{H}_j$$

Basis:  $\underbrace{\{|g_1\rangle \otimes |g_2\rangle \otimes \dots \otimes |g_N\rangle}_{\equiv \{g_1, g_2, \dots, g_N\} \equiv |\vec{g}\rangle}$ ,  $g_j \in 0, \uparrow, \downarrow, 2 \}$

Dimension of  $\mathcal{H}^{(N)} = d^N$  = exponentially large!

for small systems: "exact diagonalization", Lanczos algorithm

Basic problem: it is impossible to diagonalize  $H^{(N)}$  numerically

if  $N$  is large (say  $\geq 10$ )

We need to truncate: throw away "useless" information!

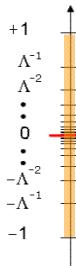
## How does NRG work?



$$H = H_0(d_j^\dagger, d_j) + \sum_k \epsilon_k c_k^\dagger c_k + v \left( \sum_j d_j^\dagger \sum_k c_k + h.c. \right)$$

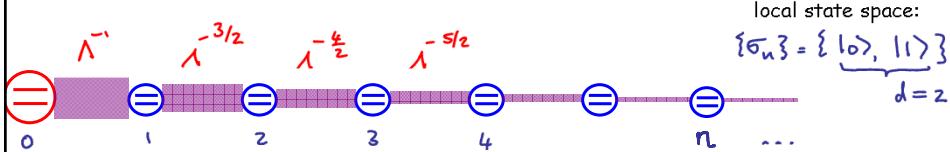
$$\begin{bmatrix} \text{---} & & \\ \vdots & \ddots & \\ 0 & \ddots & \end{bmatrix} \xrightarrow{\text{triagonalize}} \begin{bmatrix} \text{---} & & \\ \vdots & \ddots & \\ 0 & \ddots & \end{bmatrix}$$

logarithmic  
discretization  
of cond. band:  
 $\omega_n = \Lambda^{-n}$   
 $\Lambda > 1$



$$H = H_0(f_{0j}^\dagger, f_{0j}) + \sum_{n=1}^{\infty} \lambda_n (f_{nj}^\dagger f_{nj} + h.c.)$$

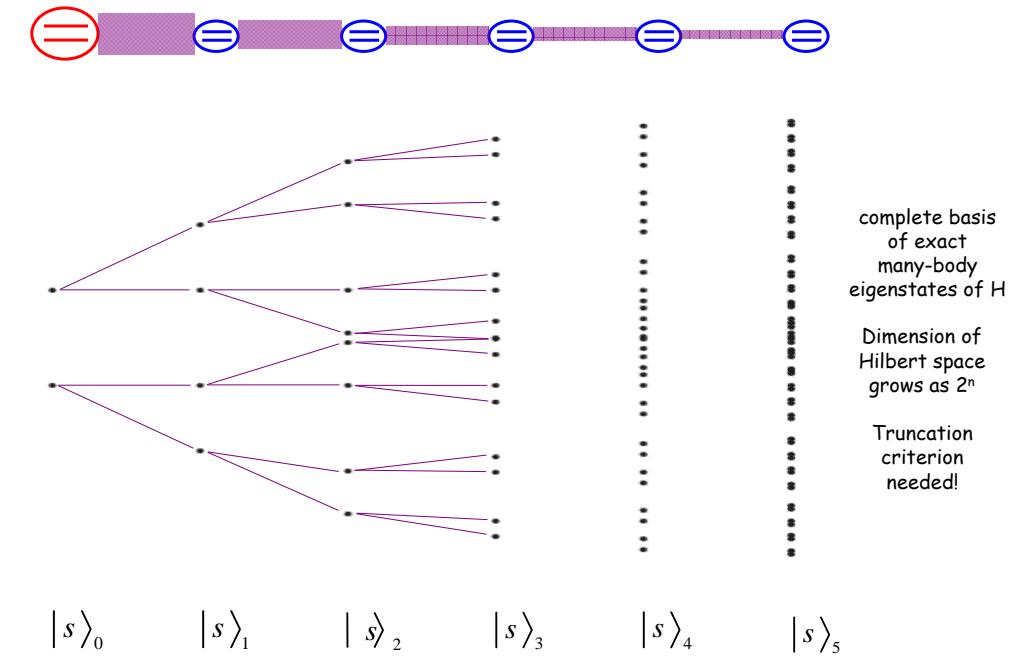
(Wilson chain)



Diagonalize chain iteratively, discard high-energy states

## Diagonalize Hamiltonian iteratively

[Wilson, 1975]

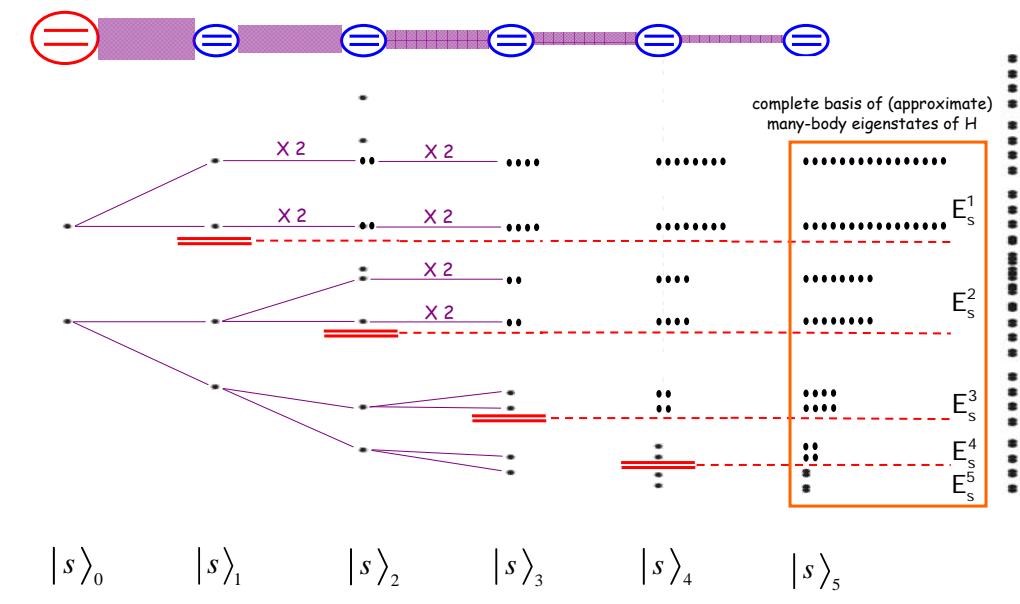


## Energy truncation, complete many-body basis

[Wilson, 1975]

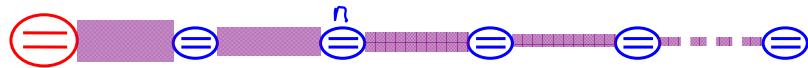
[Anders, Schiller, 2005]

build complete many-body basis from discarded states, keeping track of degeneracies



## NRG yields Matrix Product States (MPS)

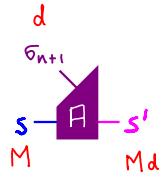
[Weichselbaum,  
Verstraete,  
Schollwoeck, Cirac,  
von Delft, 2005]



$$H_n |s\rangle_n = E_s^n |s\rangle_n \quad (\text{M eigenstates})$$

$$|s'\rangle_{n+1} = \sum_{s \sigma_{n+1}} |s\rangle_n |\sigma_{n+1}\rangle [A^{\sigma_{n+1}}]_{ss'} \langle s| \langle \sigma_{n+1}| s'\rangle_{n+1}$$

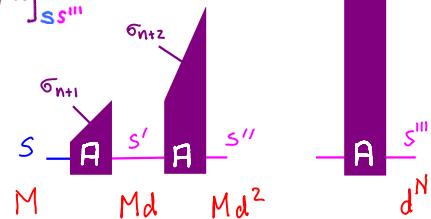
d matrices  
M old states  
Md new states



iterate:

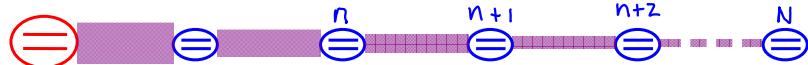
$$|s''\rangle_N = \sum |s\rangle_n |\sigma_{n+1}\rangle |\sigma_{n+2}\rangle \dots |\sigma_N\rangle [A^{\sigma_{n+1}} A^{\sigma_{n+2}} \dots A^{\sigma_N}]_{ss''}$$

"matrix product state" (MPS)



## NRG yields Matrix Product States (MPS)

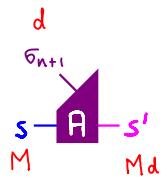
[Weichselbaum,  
Verstraete,  
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d matrices  
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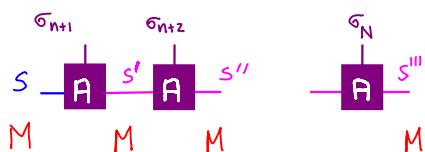


iterate:

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Wilson truncation of  
high-energy states:

"matrix product state" (MPS)



Matrix product states:

[MPS5]

- Can give exact description of any  $|g\rangle \in \mathcal{H}^{(N)}$   
provided matrices are large enough :  $A^{[e_j]} = d^j \times d^{j+1}$
- Allow efficient approximations for ground state (low-lying excited states) of 1D chains with short-range interactions :

Take  $A^{[e_j]} = D \times D$  matrix for all  $j$  ( $D = \text{"Matrix dimension"}$ )

Ansatz for ground state:  $|g\rangle = \sum_{\{\bar{e}\}} |\bar{e}_1, \bar{e}_2, \dots, \bar{e}_N\rangle \text{Tr} (A^{[\bar{e}_1]} A^{[\bar{e}_2]} \dots A^{[\bar{e}_N]})$

Treat the elements of the matrices  $A_{\alpha\beta}^{[e_i]}$

[MPS6]

as variational parameters , (there are  $Nd^2$  of them) ,

and minimize  $\langle g | H^{(N)} | g \rangle$  with respect to  $A_{\alpha\beta}^{[e_i]}$  (1)

subject to the constraint that  $\langle g | g \rangle = 1$ . (2)

$$\Rightarrow \frac{\partial}{\partial A_{\alpha\beta}^{[e_i]*}} \left[ \langle g | (H^{(N)} - \lambda) | g \rangle \right] = 0 \quad (\alpha, e_i, \alpha, \beta) \quad (3)$$

[Lagrange multiplier.]

$$\frac{\partial}{\partial A_{\alpha\beta}^{[e_i]*}} \underbrace{\text{tr} (A^{[\bar{e}_N]*} \dots A^{[\bar{e}_1]*} \langle \bar{e} | (H^{(N)} - \lambda) | \bar{e} \rangle \text{tr} (A^{[\bar{e}_1]} \dots A^{[\bar{e}_N]}))}_{\text{quadratic in } A\text{'s.}} = 0 \quad (4)$$

Linear equation for  $A_{\alpha\beta}^{[e_i]}$ , can be solved numerically

Strategy: (equivalent to DMRG "density matrix renormalization group") MPS-7

- fix all  $A_i$ 's, except for site  $j$ :  $A_j = \{A_j^{[\sigma_j]}\}, \sigma_j \in \{0, 1, 2, 3\}$   
(e.g. randomly)
- extremize  $\langle g | (H - \lambda) | g \rangle$  w.r.t.  $A_j$  (i.e. solve LSE for  $A_j$ ),  
to obtain improved values for  $A_j$
- move to neighboring site, and similarly improve  $A_{j+1}$ .
- "sweep" through chain back and forth a few times  
until ground state energy converges.
- (increase  $D$ , and repeat, until result no longer changes much)

### DMRG truncation strategy

Fix size of matrices:

$$|\psi\rangle = \dots \circledcirc A \circledcirc A \circledcirc \dots$$

Variationally optimize ground state  
in space of matrix product states:

$$\frac{\partial}{\partial A^n} \langle \psi | H | \psi \rangle_{MPS} = 0$$

$$\begin{array}{c} d \\ \sigma \\ \text{A} \\ s \\ M \\ \text{Md} \end{array} \xrightarrow{\text{reshape}} \begin{array}{c} \tilde{A} \\ (S, \sigma) \\ M_d \\ M_d \\ s' \\ M_d \end{array} \xrightarrow{\text{singular-value decomposition}} \begin{array}{c} U \\ \times \\ D \\ \times \\ V^+ \\ M_d \\ M_d \\ M_d \end{array}$$

retain only largest  $M$  singular values  
(for given  $M$  this maximizes entanglement)

reshape

$$\begin{array}{c} d \\ \sigma \\ \text{A} \\ s' \\ M \\ M \end{array}$$

$$\simeq \begin{array}{c} M_d \\ M_d \\ M_d \\ M \\ M \end{array} = \begin{array}{c} d \\ \sigma \\ \text{A} \\ s' \\ M \\ M \end{array}$$

## Advantages of MPS formulation

For quantum impurity problems:

- First truly "clean" algorithm for spectral functions at finite temperatures (full multi-shell DM) [Weichselbaum, von Delft, PRL 2007]
- Memory reduction by optimizing size of A-matrices
- Logarithmic discretization no longer needed [Weichselbaum et al, PRB 2009, Guo et al, 2009]



In general:

- Time-dependent problems !! (t-DMRG)  
[Daley, Kollath, Schollwöck, Vidal (2004)  
White, Feiguin, (2004)]

$$|\psi(t+\Delta t)\rangle = e^{-iH(t)\Delta t} |\psi(t)\rangle$$

$$|\psi(t+\Delta t)\rangle =$$



## Many-Body Numerics meets Quantum Information

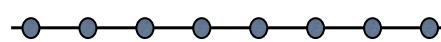
Weichselbaum, Verstraete,  
Schollwöck, Cirac, von Delft (2005)  
Weichselbaum, von Delft (2007)  
Saberri, Weichselbaum,  
von Delft (2008)

MPS:  
matrix product states

Östlund, Rommer (1995)  
Verstraete, Porras, Cirac (2004)

NRG: numerical renormalization group  
(Wilson, 1975)

DMRG: density matrix renormalization group  
(White, 1992)



quantum impurity models  
(discrete states + continuous bath)  
  
magnetic moment + Fermi sea  
atom/molecule + surface  
quantum dot + leads  
qubit + environment  
dynamical mean-field theory

quantum chains  
  
spin chains  
1-d Hubbard model  
quantum wires  
polymers  
1-d cold atoms

$$|\psi(t+\Delta t)\rangle = e^{-iH(t)\Delta t} |\psi(t)\rangle$$

nonequilibrium dynamics!  
(time-dependent driving,  
quantum quenches)  
Daley, Kollath,  
Schollwöck, Vidal (2004)  
White, Feiguin, (2004)



quantum information theory

Literature:

- General Review of NRG (without MPS)  
Bulla, R.; Costi, T. A. & Pruschke, T.  
Numerical renormalization group method for quantum impurity systems  
Reviews of Modern Physics, 80, 395 (2008)
- General Review on DMRG:  
U. Schollwöck: The density-matrix renormalization group  
Reviews of Modern Physics, 77, 259 (2005)
- Review of Matrix Product State approach to DMRG  
W. Münster, A. Weichselbaum, A. Holzner, J. von Delft, C. Henley,  
New J. Phys., 12, 075027 (2010), Appendix A
- DMRG in the age of Matrix Product States  
U. Schollwöck  
Annals of Physics, 326, 96 (2010)